

### COMPARISON OF CLINICAL TRIAL PHASES

|                                  | PHASE I   | PHASE II   | PHASE III   | PHASE IV  |
|----------------------------------|---|--|---|---|
| <b>OBJECTIVES:</b>               | Determine the metabolic and pharmacological actions and the maximally tolerated dose  | Evaluate effectiveness, determine the short-term side effects and identify common risks for a specific population and disease  | Obtain additional information about the effectiveness on clinical outcomes and evaluate the overall risk-benefit ratio in a demographically diverse sample  | Monitor ongoing safety in large populations and identify additional uses of the agent that might be approved by the FDA   |
| <b>FACTORS TO BE IDENTIFIED:</b> | <ul style="list-style-type: none"> <li>-Bioavailability</li> <li>-Bioequivalence</li> <li>-Dose proportionality</li> <li>-Metabolism</li> <li>-Pharmacodynamics</li> <li>-Pharmacokinetics</li> </ul> | <ul style="list-style-type: none"> <li>-Bioavailability</li> <li>-Drug-disease interactions</li> <li>-Drug-drug interactions</li> <li>-Efficacy at various doses</li> <li>-Pharmacodynamics</li> <li>-Pharmacokinetics</li> <li>-Patient safety</li> </ul> | <ul style="list-style-type: none"> <li>-Drug-disease interactions</li> <li>-Drug-drug interactions</li> <li>-Dosage intervals</li> <li>-Risk-benefit information</li> <li>-Efficacy and safety for subgroups</li> </ul> | <ul style="list-style-type: none"> <li>-Epidemiological data</li> <li>-Efficacy and safety within large, diverse populations</li> <li>-Pharmacoeconomics</li> </ul> |
| <b>DATA FOCUS:</b>               | <ul style="list-style-type: none"> <li>-Vital signs</li> <li>-Plasma and serum levels</li> <li>-Adverse events</li> </ul>   | <ul style="list-style-type: none"> <li>-Dose response and tolerance</li> <li>-Adverse events</li> <li>-Efficacy</li> </ul>   | <ul style="list-style-type: none"> <li>-Laboratory data</li> <li>-Efficacy</li> <li>-Adverse events</li> </ul>  | <ul style="list-style-type: none"> <li>-Efficacy</li> <li>-Pharmacoeconomics</li> <li>-Epidemiology</li> <li>-Adverse events</li> </ul>                             |
| <b>DESIGN FEATURES:</b>          | <ul style="list-style-type: none"> <li>-Single, ascending dose tiers</li> <li>-Unblinded</li> <li>-Uncontrolled</li> </ul>  | <ul style="list-style-type: none"> <li>-Placebo controlled comparisons</li> <li>-Active controlled comparisons</li> <li>-Well-defined entry criteria</li> </ul>  | <ul style="list-style-type: none"> <li>-Randomized</li> <li>-Controlled</li> <li>-2-3 treatment arms</li> <li>-Broader eligibility criteria</li> </ul>  | <ul style="list-style-type: none"> <li>-Uncontrolled</li> <li>-Observational</li> </ul>   |
| <b>DURATION:</b>                 | Up to 1 month   | Several months   | Several years   | Ongoing (following FDA approval)  |
| <b>POPULATION:</b>               | Healthy volunteers or individuals with the target disease (such as cancer or HIV)   | Individuals with target disease  | Individuals with target disease   | Individuals with target disease, as well as new age groups, genders, etc.   |
| <b>SAMPLE SIZE:</b>              | 20 to 80  | 200 to 300   | Hundreds to thousands   | Thousands   |
| <b>EXAMPLE:</b>                  | Study of a single dose of Drug X in normal subjects   | Double-blind study evaluating safety and efficacy of Drug X vs. placebo in patients with hypertension  | Study of Drug X vs. standard treatment in hypertension study  | Study of economic benefit of newly-approved Drug X vs. standard treatment for hypertension  |